





## Transcatheter Arterial Chemoembolization for Advanced Hepatocellular Carcinoma with Inferior Vena Cava and Right Atrial Tumors

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**Abstract** Advanced hepatocellular carcinoma (HCC) with tumor invasion of inferior vena cava (IVC) and right atrium (RA) indicates a poor prognosis but also a contraindication for transcatheter arterial chemoembolization (TACE). This study evaluated the feasibility of TACE for advanced HCC with inferior vena cava (IVC) and right atrium (RA) tumors and, also, to search for the ideal embolization particle size. Twenty-six patients who had HCC invasion into the IVC included patients with coexistent RA tumors that were treated with TACE. The chemoembolization method was cisplatin, doxorubicin, and mitomycin C mixed with Lipiodol and Ivalon. The selection of Ivalon particles was divided into two groups based on their size: (A) 180  $\mu$ m, N = 9; and (B) 47–180  $\mu$ m, N = 17. The overall response rate was 53.8% (14/26). Based on the response to TACE, the median survival period of the entire group was 4.2 months (range, 1.5 to 76.7 months). The median survival period of the 14 responders was 13.5 months (1.5–76.7 months) and that of the 12 nonresponders, 3.3 months (2.1–24.3 months) ( $P < 0.002$ ). Comparing the two Ivalon particle sizes, the response rate was 12.5% (1/9 patients) for group A and 76.5% for group B (13/17 patients).

**Keywords** Transcatheter arterial chemoembolization · Hepatocellular carcinoma · Inferior vena cava · Right atrium · TACE. The chemoembolization method was cisplatin, doxorubicin, and mitomycin C mixed with Lipiodol and Ivalon. The selection of Ivalon particles was divided into two groups based on their size: (A) 180  $\mu$ m, N = 9; and (B) 47–180  $\mu$ m, N = 17. The overall response rate was 53.8% (14/26). Based on the response to TACE, the median survival period of the entire group was 4.2 months (range, 1.5 to 76.7 months). The median survival period of the 14 responders was 13.5 months (1.5–76.7 months) and that of the 12 nonresponders, 3.3 months (2.1–24.3 months) ( $P < 0.002$ ). Comparing the two Ivalon particle sizes, the response rate was 12.5% (1/9 patients) for group A and 76.5% for group B (13/17 patients). HCC invasion of PV results in liver failure or esophageal varices bleeding and has been considered to be the terminal stage. HCC invasion into the IVC and RA may be complicated by lung metastasis, pulmonary infarction, secondary Budd-Chiari syndrome, ball-valve thrombus syndrome, and intractable heart failure and carries the threat of sudden death.

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Several methods are available to treat HCC invasion to IVC and RA, including surgery, radiotherapy, systemic chemotherapy, and TACE [1]. Surgical resection combined with chemotherapy is efficacious but is still limited by the patient's hepatic reserve [2]. Conventional radiotherapies for advanced HCC have shown disappointing results accompanied by severe complications [3]. The response rates of systemic chemotherapy for HCC are only 10% [7]. TACE for HCC is considered to be an effective and safe therapy in many serial reports [4]. Until now, TACE for advanced HCC with invasion of PV, IVC, and RA is still considered a contraindication at many institutions.

Katsumori et al. reported the safety and efficacy of either fine-needle aspiration or core biopsy in all patients. TACE for HCC with PV tumors [9]. However, there have been only limited case reports of TACE for HCC with IVC and RA tumors [10,12]. Furthermore, there has been no study concerning the sizes of embolized particles in TACE for advanced HCC.

Our study evaluates whether TACE can be performed safely and effectively in advanced HCC patients with IVC and RA tumors and searches for the ideal particle size target the venous tumors.

## Materials and Methods

From August 1997 to December 2005, 26 patients underwent TACE for advanced HCC with IVC tumors and 5 of them had coexisting RA tumors at our institution. Thirteen patients had PV tumors simultaneously. The age range was from 34 to 74 years. The sex distribution was 20 males and 6 females. The other baseline characteristics of patients are summarized in Table 1. All patients had three-phase spiral computed tomography (CT) of the abdomen in axial images at 7-mm slice thickness to demonstrate the extent of liver tumors into the IVC and/or RA. Additionally, 13 of 26 patients had PV thrombosis. Eleven patients had thrombosis of the right PV and two had thrombosis of the left PV. Two patients had concomitant main PV thrombosis. The histological or cytological diagnosis of HCC was confirmed by periportal collaterals with hepatopedal flow. Selective

Two parameters on CT images were used to evaluate the response of IVC and RA tumors: the diameter and the length of the tumor. Partial response was defined as a reduction in tumor diameter of 20% or in tumor length of

more than one CT slice (7 mm). Complete response was defined as total clearance of tumors in the IVC or RA. Others including stable condition were considered to be nonresponse.

Preprocedure, patients were hydrated with 1000 ml normal saline solution and medicated with 5 mg tropisetron, 5 mg dexamethasone, and 10 mg metoclopramide.

Celiac and superior mesentery arteriograms were routinely performed to show hepatic arterial anatomy and to ensure either patent portal veins or periportal collaterals with hepatopedal flow. Selective

Table 1 Baseline characteristics of the patients

	All (N = 26)	Responders (N = 14)	Nonresponders (N = 12)
Age, yr (range)	57 (34-74)	55 (40-74)	56 (34-73)
Gender, M/F	20/6	8/6	12/0
Hepatitis B virus	17	8	9
Hepatitis C virus	4	2	2
Hepatitis B & C virus	4	3	1
Serum total bilirubin, mol/L (range)	20 (10-46)	19 (10-31)	20 (12-46)
Serum albumin, g/L (range)	37 (29-47)	37 (29-47)	37 (31-43)
Prothrombin time, s (range)	13.9 (10.9-16.1)	13.8 (11.9-15.3)	13.9 (10.9-16.1)
Serum $\alpha$ -fetoprotein, ng/ml ( $\leq 20/20-400/400$ )	(8/6/12)	(4/3/7)	(4/3/5)
Tumor mass (uninodular/multinodular/diffuse)	(3/14/9)	(2/6/6)	(1/8/3)
Diameter of the largest tumor, cm	10.9 (6-19)	11.6 (6-19)	10.2 (6.5-14)
Tumor volume $\geq 50\%$ of liver volume, no.	13 (50%)	6 (23%)	7 (27%)
Right atrium tumor	5	5	0
Hepatic vein invasion (right/middle/left)	(15/6/2)	(6/3/2)	(8/4/0)
Portal vein obstruction (right/left/main)	(11/2/2)	(6/2/1)	(5/0/1)
Okuda stage (I/II/III)	(8/17/1)	(5/8/1)	(3/9/0)

hepatic and/or extrahepatic arteriogram, especially inferior

phrenic arteries, were performed to demonstrate tumor

vascularity, blood supplies, and arteriovenous shuntings. Statistical analyses were performed with chi-square anal-

The standard dosage of our chemoembolization protocol consists of three drugs, 100 mg cisplatin, 50 mg doxorubicin, and 10 mg mitomycin C, dissolved in 10 ml normal saline, then mixed with Lipiodol (Lipiodol Ultrafluid; Laboratoire Guerbet, Aulnay-sous-Bois, France) at a 1:1.1 tank

Lipiodol emulsion to improve drug retention inside the tumor. Polyvinyl alcohol (PVA or Ivalon; Cook Inc., Bloomington, IN, USA) particles

embolization agents until 1999, then smaller PVA particles (two sizes, 47 and 90  $\mu$ m). There is no difference in the embolization technique before versus after 1999, except PVA size. Based on PVA size, the patients were divided into two groups. In group A ( $N = 9$ ), the PVA particles were

[ 180  $\mu$ m. In group B ( $N = 17$ ), they were 47 and 90  $\mu$ m. All 26 patients tolerated sequential TACE over the course of the study without treatment-related major complications. Twenty-two patients expired during the course of the study. The survival period of the entire group was from 1.5 to 76.7 months (median, 4.2 months) (Fig. 1). The 12-, 24-, and 36-month survival rates were 41%, 25%, and 7%, respectively. Based on tumor response to TACE by image study, the 26 patients were divided into two groups, 14 responders (53.8%) and 12 nonresponders (46.2%). The two groups were balanced in their main characteristics, especially in the size and distribution of tumors and PV invasion (Table 1). The median survival of responders was 13.5 months (range, 1.5 to 76.7 months), while that of nonresponders was 3.3 months (2.1 to 24.3 months). The difference in the survival period of the two groups was statistically significant ( $p < 0.002$ ; Fig. 2). When the coexisting PV tumor was added to the survival analysis, the survival of 13 patients without PV tumor was 10.9 months and that of the other 13 patients with PV tumor was 14.3 months. The survival rate by coexisting PV tumor was not statistically significant ( $p = 0.98$ ) (Fig. 3). Additionally, comparison of patients with coexisting PV tumor in responders (8/14 patients) versus nonresponders (5/12 patients) also showed no statistically significant difference ( $p = 0.43$ ). Of five patients who had RA tumors, three showed complete response (60%) and one partial response (20%). The last patient showed tumor progression 3 months after TACE, all patients underwent triphasic CT scan. Tumor response by particle size showed only 1 of 8 patients (12.5%) in group A and 13 of 18 patients (72.2%) in group B. The difference in response rate was statistically significant ( $p < 0.01$ ). Four of five patients (80%) with regression of RA tumors were all in group B.

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Table 2 Univariate and multivariate analysis in patients with advanced HCC with IVC/RA tumors

	Univariate analysis			Multivariate analysis		
	RR	95% CI	p	RR	95% CI	p
AFP						
\ 20	1			1		
20–400	0.66	(0.19–2.26)	0.51	0.61	(0.06–5.95)	0.67
[ 400	1.87	(0.70–4.97)	0.21	1.60	(0.22–11.5)	0.64
Albumin	0.81	(0.36–1.83)	0.62	1.45	(0.42–5.04)	0.56
Total bilirubin	2.31	(0.73–7.30)	0.15	1.27	(0.21–7.58)	0.79
Child-Pugh score	1.64	(0.94–2.85)	0.08*	2.76	(0.99–7.72)	0.05*
Okuda stage						
I	1			1		
II	2.00	(0.76–5.27)	0.16	0.18	(0.01–2.78)	0.22
III	1.93	(0.22–16.79)	0.55	0.04	(0.00–4.83)	0.18
PV thrombosis	0.98	(0.42–2.33)	0.97	1.29	(0.41–4.00)	0.67
Tumor type						
(infiltrated/mass)	1.24	(0.53–2.93)	0.62	1.30	(0.34–4.92)	0.70
Tumor volume [( 50%)	0.14	(0.04–0.50)	0.003*	0.04	(0.003–0.57)	0.02*
Age	0.99	(0.95–1.03)	0.53	1.03	(0.95–1.12)	0.46

Note: RR, relative risk; CI, confidence interval; AFP, fetoprotein; PV, portal vein

\* Statistically significant correlation

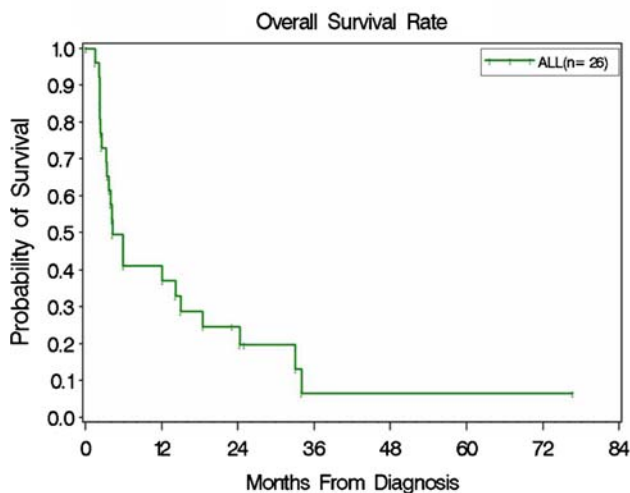


Fig. 1 Overall survival rate of the entire group

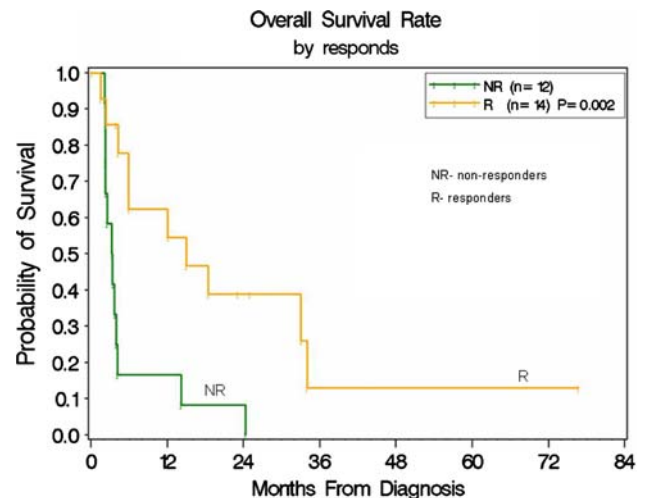


Fig. 2 Survival rate by response after TACE

## Complications

### Prognostic Factors

Prognostic factors included in univariate and multivariate survival analysis were AFP, albumin, total bilirubin, Child-Pugh score, Okuda stage, PV thrombosis, tumor type, tumor volume [( 50%), and age. Both univariate and multivariate survival analysis showed statistically significant correlation with Child-Pugh score and tumor volume (80.7%), abdominal pain (70.9%), vomiting (61.5%), and transient deterioration of liver function (100%).

Immediate CT after TACE showed only a trace of Lipiodol retention in both lower lungs of all patients, but without symptoms of dyspnea, chest pain, or a decline in blood oxygen saturation. There was no TACE-related liver failure, 30-day mortality, or encephalopathy. Especially, pulmonary embolism was not observed after embolization in the entire group. Other minor complications were fever (80.7%), abdominal pain (70.9%), vomiting (61.5%), and transient deterioration of liver function (100%).

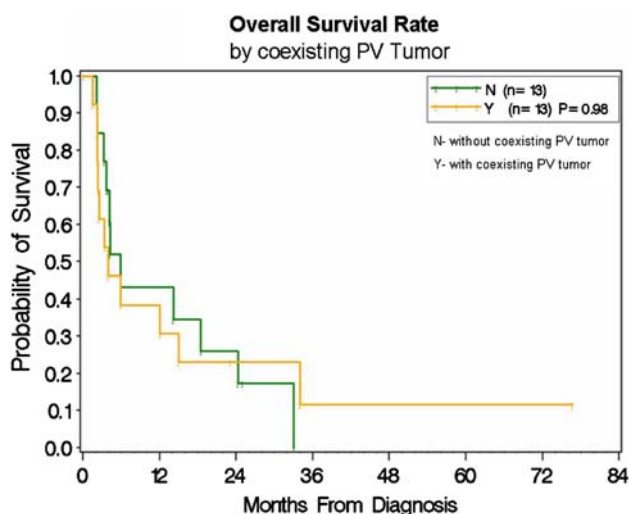


Fig. 3 Survival rate by coexisting PV tumor

### Illustrative Cases

#### Case 1. Complete response of IVC/RA tumor, with 6 year 4 month survival

A 70-year-old man had a history of hepatitis B and C. He was referred to our hospital after CT scan diagnosis of hepatic tumors with IVC and RA invasion. Pertinent laboratory tests were as follows: AST (GOT), 91 U/L; ALT (GPT), 94 U/L; total bilirubin, 0.7 mg/day; albumin, 3.8 g/dl; HBsAg, positive; HCV antibody, positive; and AFP, 30 ng/ml.

CT scan of the abdomen showed hepatic tumors with IVC and RA tumors (Fig. 4A). Proper hepatic arteriogram showed a prominent hypervascular tumor extending into the IVC and RA with delineation of multiple tumor vessels (thread and streaks sign) (Fig. 4B). TACE was performed at the right and middle hepatic arteries with 50% standard drug dosage and 100 mg PVA (47D180). CT scan 1 month after TACE showed shrinkage and necrosis of IVC and RA tumors (Fig. 4C) and excellent Lipiodol retention in these tumors (Fig. 4D). CT scan 2 months after TACE showed clearance of IVC and RA tumors (Fig. 4E and F). The patient had been treated with six courses of TACE and was still alive after 6 years 4 months.

#### Case 2. Complete response of IVC/RA and PV tumor with 2 year 7 month survival

In February 2002, a 69-year-old woman was referred to our hospital because of upper abdominal pain and a palpable hepatic mass. She was diagnosed as having cirrhosis of the liver and HCC.

Pertinent laboratory tests were as follows: AST (GOT), 90 U/L; ALT (GPT), 42 U/L; albumin, 3.0 g/dl; total

bilirubin, 1.2 mg/dl; HBsAg, negative; HCV antibody, positive; and AFP, 6.2 ng/ml.

CT scan of the abdomen showed a 12-cm tumor in the left lobe of the liver, with invasion of the IVC and RA (Fig. 5A) and tumor thrombus in the left PV (Fig. 5B). Common hepatic arteriogram showed a huge hypervascular left hepatic tumor extending via the IVC into the RA (thread and streaks sign) (Fig. 5C) accompanied by marked arteriportal and arteriovenous shunting (Fig. 5D). TACE was performed at the left hepatic artery with the standard dosage and 75 mg PVA (47D180). CT scan 1 month post TACE showed shrinkage of the RA and hepatic tumors with excellent Lipiodol retention in tumors (Fig. 5E and F) and an opacified left PV (Fig. 5F). CT after 2 months showed clearance of the RA tumor and marked reduction of the left hepatic tumors (Fig. 5G and H).

Until July 2004, the patient's hepatic tumors were controlled well by three courses of TACE. However, tumor recurrence in the right lobe with invasion into the main PV resulted in progressive hepatic failure. She expired in September 2004, for a total survival of 2 years 7 months.

#### Case 3. HCC with HV, IVC/RA, and PV tumor, and lung metastasis, with 2 year 9 month survival

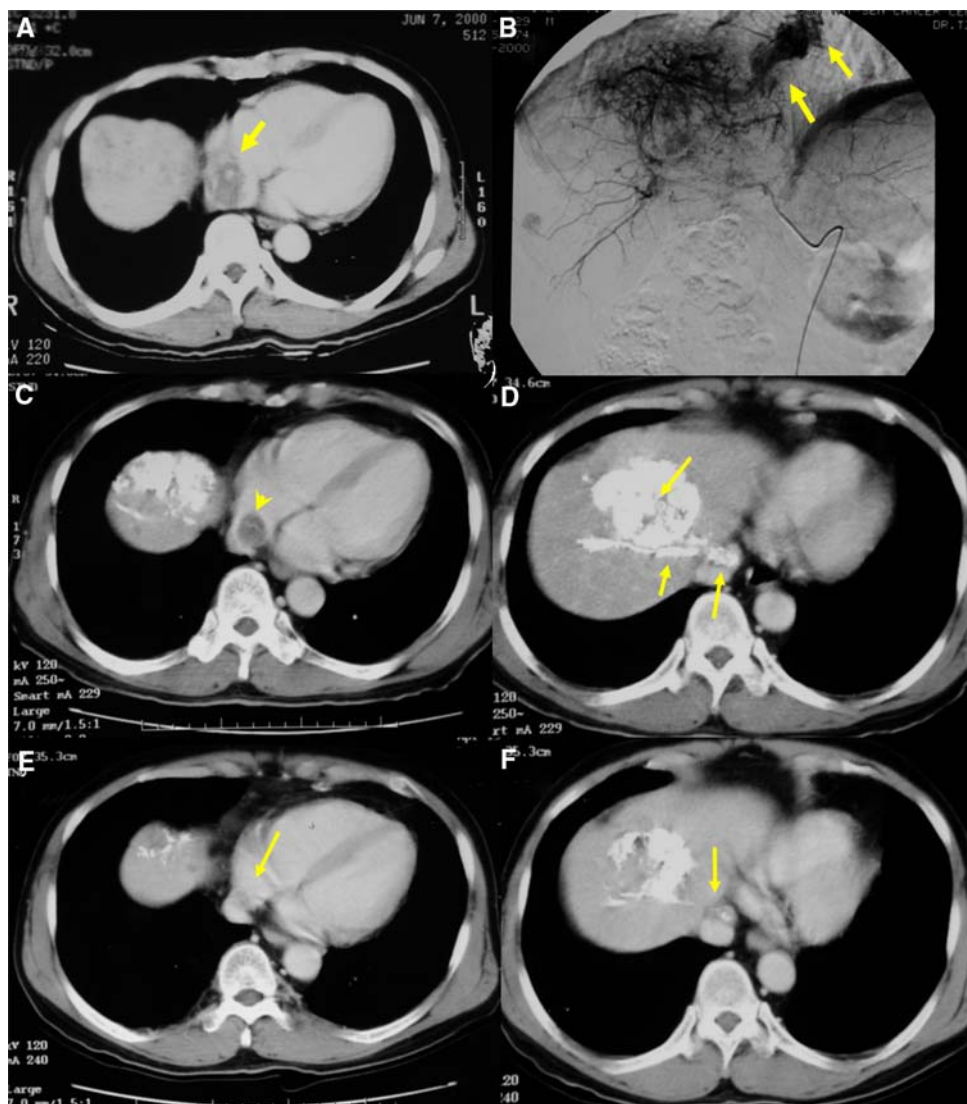
A 55-year-old man had been suffering from persistent dry cough for 2 to 3 months. Chest x-ray showed multiple lung nodules with elevation of the right hemidiaphragm. He was referred to our hospital under the diagnosis of liver tumors with lung metastasis.

Pertinent laboratory tests were as follows: AST (GOT), 53 U/L; ALT (GPT), 43 U/L; albumin, 3.9 g/dl; total bilirubin, 1.0 mg/dl; HBsAg, positive; HCV antibody, negative; and AFP, 47,978 ng/ml.

CT scan showed multiple liver tumors with IVC, RA, and right PV tumor invasion (Fig. 6A and B). Right hepatic arteriogram showed hypervascular hepatic tumors and IVC (Fig. 6C) and PV tumors (thread and streaks sign) (Fig. 6C). TACE of the right hepatic artery with 50% of the standard drug dosage and 75 mg PVA (47D180). The immediate CT scan showed Lipiodol retention in the RA (Fig. 6D) and PV (Fig. 6E) tumors, but a Lipiodol pooling defect in the main tumor near the dome (Fig. 6D). CT scan after two sessions of TACE including extrahepatic arterial embolization (right inferior phrenic artery) showed shrinkage and necrosis of RA/IVC tumors (Fig. 6F and G) and reopening of the right PV (Fig. 6H).

The patient received four courses of TACE and expired 2 years 9 months later due to progressive recurrent tumors in the liver parenchyma, but none in the venous systems.

**Fig. 4** HCC with invasion into IVC/ RA. (A) CT scan shows hepatic tumors with IVC and RA tumors (arrow). (B) Proper hepatic arteriogram shows an 8- to 10-cm hypervascular tumor extending into IVC and RA (thread and streaks sign) (arrows). (C, D) CT scan 1 month after TACE shows shrinkage and necrosis of IVC and RA tumors (arrowhead) with excellent Lipiodol retention in right lobe and right hepatic vein tumors (arrows). (E, F) CT scan 2 months after TACE shows clearance of IVC and RA tumors (arrows)

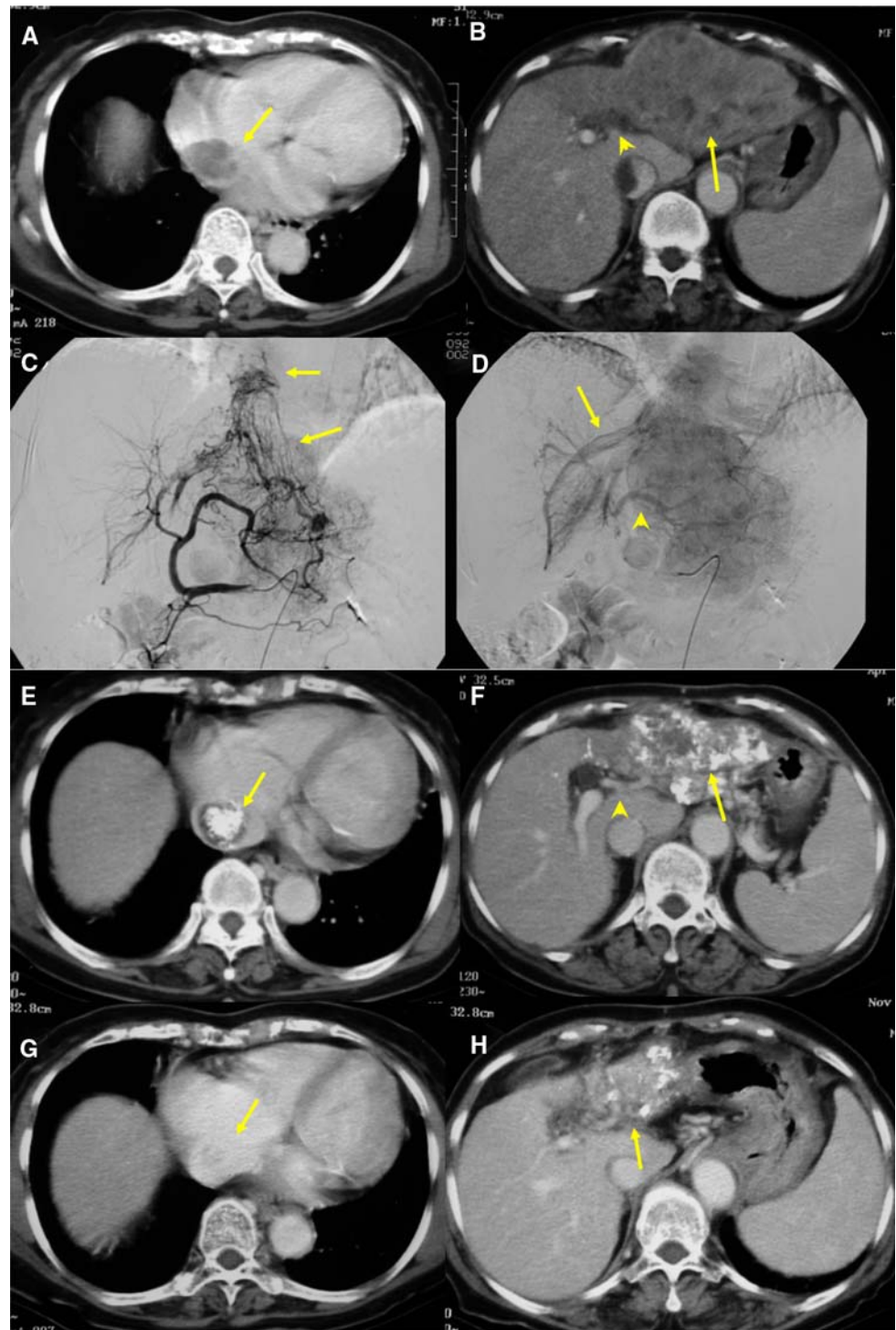


## Discussion

The natural course of HCC with tumor thrombus in the PV, including PV or IVC thrombosis is still considered an IVC, or RA is dismal. Until now, greatly advanced HCC absolute contraindication for TACE at most institutions with tumor extension into the IVC and RA has had an extremely poor prognosis. The median survival of patients with IVC tumor thrombus was only 2–3 months without the safety and efficacy of TACE in those patients with effective treatment [13]. For HCC with a tumor thrombus extending into the IVC, no effective treatment has yet been reported. Previous studies on conventional radiotherapies for HCC have shown disappointing results accompanied by severe complications [46]. The outcome of surgery for HCC with IVC tumor thrombi has also been disappointing, with a mean postoperative survival time ranging from 7.3 and 8.4 months [14, 15].

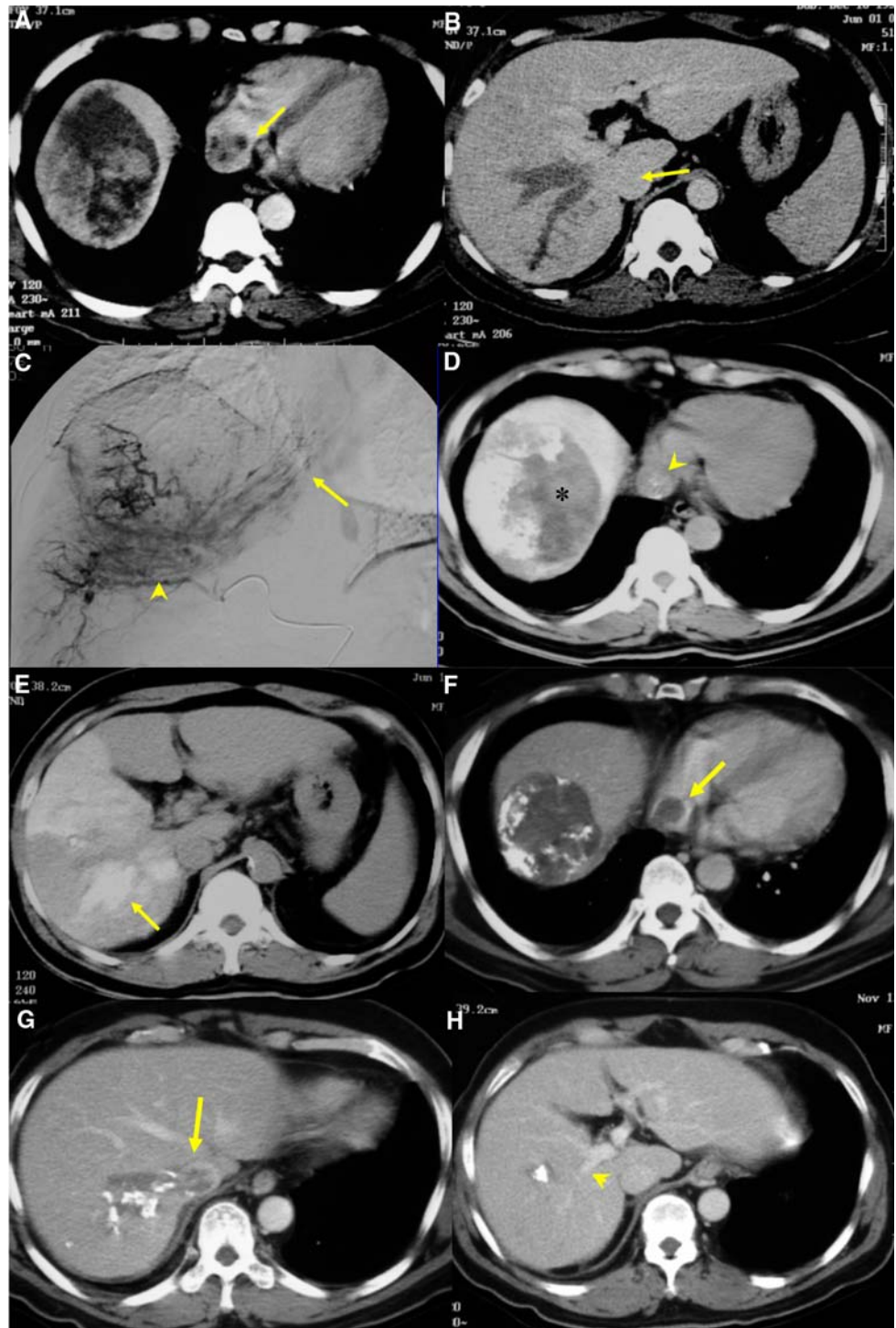
TACE has become an acceptable treatment for mostly some case report studies about TACE in treatment of unresectable HCCs. Recent randomized controlled trials confirmed a distinct survival advantage for TACE in patients with unresectable HCC [16–18]. Greatly advanced HCC with IVC and RA tumors treated by TACE. A search of the literature revealed

**Fig. 5** HCC of left hepatic lobe with invasion into IVC/RA and left portal vein. **(A)** CT shows hepatic tumor invasion of the IVC and RA (arrows). **(B)** A 12-cm tumor in the left hepatic lobe (arrow) and nonopacified left portal vein (arrowhead). **(C)** Common hepatic arteriogram, early phase, shows a huge hypervascular left hepatic tumor extending via the IVC into the RA (arrows). **(D)** Late phase, marked arterioportal (arrowhead) and arteriovenous shuntings (arrows). **(E, F)** CT scan 1 month after TACE shows shrinkage RA and hepatic tumors with excellent Lipiodol retention in tumor (arrows) and opacified left portal vein (arrowhead). **(G, H)** CT after 2 months shows clearance of the RA tumor and marked left lobe tumor reduction



Our study indicates that TACE not only is not contra-indicated in patients with advanced HCC with invasion into the IVC and RA, but also is beneficial to their survival. The effectiveness of TACE in our study is clear when survival of responder versus nonresponder patients are compared (Fig 2). In our study, the median survival of responders was 13.5 months, whereas nonresponders had a median survival of 3.3 months, a significant difference indicated in patients with advanced HCC with invasion into the IVC and RA without treatment have an extremely poor prognosis, i.e., 3 months, similar to that of patients with PV tumor thrombi. Zeng et al. [13] also showed the same results. In the study published by Georgiades et al. [69], the 1-

Fig. 6 HCC with invasion into the IVC/RA and right portal veins. (A, B) CT scan shows multiple liver tumors and IVC, RA, and right portal vein tumor invasion. (C) Right hepatic arteriogram shows hypervascular hepatic tumors and IVC (arrow) and portal vein tumors (arrow head): ÔÔthread and streaks sign. (D) Immediate CT scan after TACE shows Lipiodol retention in liver and IVC-RA tumors (arrowhead) but a pooling defect around the liver dome (\*). (E) Immediate CT also shows Lipiodol retention in one branch of right portal vein. (F, G, H) CT scan after two sessions of TACE including right inferior phrenic arterial embolization shows shrinkage and necrosis of RA/IVC tumors (arrow) and reopening of right portal vein (arrowhead)



2-, and 3-year survival rates of patients with HCC and PV. We observed that TACE using different particle sizes thrombosis have been reported to be 17%, 8%, and 0% showed different response rates. The response rate (72.2%) respectively. Nevertheless, the 1-, 2-, and 3-year survival of group B (PVA 180 μm) was significantly higher than rates of patients with HCC and IVC/RA thrombosis in our study that of group A (PVA 180 μm) ( $p < 0.01$ ). It is suggested that smaller embolized particles more effectively blocked the arterial flow not only closer to but also directly into the tumors and, thus, prolonged the retention time of treatment with TACE effected a survival benefit in some patients.

chemotherapeutic agents in tumors. This can also explain why the patients showed a higher response rate (80%) on the presence of distant metastasis.

RA tumors treated with smaller PVA particles. The resolution of RA tumors should decrease the risk of sudden death and intractable heart failure in these patients.

Our past experience using Gelfoam pledgets (1 to 2 mm) and larger Ivalon particles (250 to 420  $\mu$ m) frequently resulted in a reduction of parenchymal tumors but have IVC/RA tumors, even coexistent with PV tumors, if seldom showed improvement of tumors within the PV, IVC, and RA. We believe that these agents blocked tumor vessels well proximal to the tumor mass. We systematically studied and downsized the particles so they would reach and stay within the tumors to provide the maximal effect. It is widely believed that tumor vessels are larger than arteries supplying the normal sinusoids. Thus, it is possible to find a proper particle size which targets the tumor but spares the normal parenchyma. Our study suggested that a size of 47-180  $\mu$ m meets this goal. Our future study will further compare two subgroups of particles, 47-90 and 90-180  $\mu$ m.

Immediate nonenhanced CT after TACE was used to evaluate the distribution of Lipiodol within the tumors and to predict the effectiveness of the treatment in our patients (Fig. 6D). We observed in two nonresponding patients that Lipiodol only pooled in some parts of the IVC and RA tumors on CT scan after TACE. That is because their blood supplies were from more than one artery including both hepatic and extrahepatic sources. In this series, the right inferior phrenic artery was the most common extra-hepatic feeder of IVC/RA tumors. We suggest that all feeding arteries of IVC/RA tumors be completely embolized in the first session of TACE, if technically feasible, to achieve a good response.

The presence of the thread and streaks sign in venous tumors in all 26 patients indicated that the enlarged vasa vasorum of the venous wall is a vital element of tumoral growth within the vein. To treat them effectively, the embolization particles should be smaller than these vessels. Considering the marked difference in response rate (12.5% vs 72.2%) between the large (180- $\mu$ m) and the small (47- to 180- $\mu$ m) PVA particle sizes, we postulated that 47-180  $\mu$ m is closer to the true diameter of tumor-feeding arteries. CT scan immediately following TACE was very useful in the demonstration of how well the venous tumor was embolized (Figs. 5E, 6D and E).

When a stage IV patient has a large tumor bulk, PV, IVC, and RA tumor, and lung metastasis, as in case 3 traditionally it is usually treated with only palliative chemotherapy or symptomatic treatment. Because of the reasonable hepatic function reserve (total bilirubin,  $\leq$  1.0 mg/dl), we decided to proceed with aggressive TACE. The marked response of the liver tumor (Figs. 6G and H) and survival of 2 years 9 months in this patient

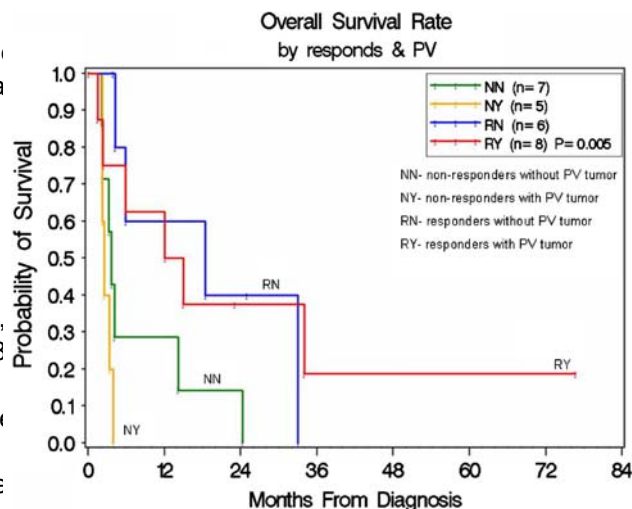


Fig. 7 Survival rate by response and PV tumor

into the IVC or pulmonary artery because of the intact endothelial barrier.

In conclusion, our study suggests that TACE is an effective and safe treatment method in patients with advanced HCC invading the IVC and RA. Smaller embolized particles (47–180 μm) are more effective. Overall, these patients showed a high response rate of IVC/RA tumors to TACE, without major complications, and some of them gained survival benefit.

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